

## **MINUTES OF AUDIT, RISK AND IMPROVEMENT COMMITTEE HELD ON THURSDAY, 12 SEPTEMBER 2019**

Committee Members:	Stephen Coates - External Independent Member - Chairperson Councillor Sam Ngai Councillor Martin Smith
Also Present:	John McKee - General Manager David Marshall – Director Corporate Angela Apostol - Manager Finance Michael Wearne – Manager Governance and Corporate Planning Susan Leahy – Head of Internal Audit Shared Service Caroline Karakatsanis –Audit Office of NSW Ash Pather– External Auditor Crowe Horwath
Observers	Councillor Jennifer Anderson (Mayor)
Apologies:	Brian Hrnjak - External Independent Member

*The Meeting commenced at 5:05 PM*

### **DECLARATIONS OF INTEREST**

Nil.

### **CONFIRMATION OF MINUTES**

AC29 **Minutes of Audit, Risk and Improvement Committee**  
File: CY00458/7

Meeting held on 27 June 2019  
Minutes numbered AC17 to AC28

#### **The Committee Recommends:**

That Minutes numbered AC17 to AC28 be adopted subject to a change in AC28 as follows: Add a point 2 "That the last three years of internal audit activity be mapped to the current risk register".

### **GENERAL BUSINESS**

AC30 **Draft General and Special Purpose Financial Statements for the year ended 30 June 2019**

File: FY00259/12

*Vide: GB.1*

To present to the Audit, Risk & Improvement Committee (ARIC) the draft Financial Statements for the year ended 30 June 2019 and seek endorsement from the Committee.

**The Committee Recommends:**

That the Audit, Risk & Improvement Committee endorses the Draft Financial Statements for the year ended 30 June 2019.

AC31 **Audit Management Letter on the final phase of the audit for the year ending 30 June 2019**

File: FY00259/12

*Vide: GB.2*

To present to the Audit, Risk and Improvement Committee issues raised in the draft external Audit Management Letter for the final phase of the audit for the year ended 30 June 2019.

**The Committee Recommends:**

That the External Audit Closing Report for the year ending 30 June 2019 be received and noted by the Audit, Risk and Improvement Committee, subject to the table on page 8 being adjusted to reflect correct amounts.

That the Management Letter on the final phase of the audit for the year ending 30 June 2019 be received and noted by the Audit, Risk and Improvement Committee.

**OTHER BUSINESS**

Nil

*The Meeting closed at 6:25 PM*